# EMBEDDING AND IMMERSION OF PROJECTIVE SPACES

By J. Adem, S. Gitler, and M. Mahowald\*

## Introduction

Considerable progress has been made recently in the problem of embedding and immersing of manifolds. The families of projective spaces  $RP^n$ ,  $CP^n$  and  $QP^n$ —the real, complex, and quaternionic, respectively— form an interesting collection of manifolds, since they seem to have embedding and immersion difficulties which are close to the worse possible for each dimension. For example, if  $n = 2^j$  or  $2^j + 1$ , the exact results for embedding all manifolds are known and  $RP^n$  in each case proves that the result is best possible. In this note we prove the following.

THEOREM 1. If  $n = 2^r + 5$  and n > 13, then  $\mathbb{RP}^n$  embeds in  $\mathbb{R}^{2n-3}$  but not in  $\mathbb{R}^{2n-4}$ .

We also obtain

THEOREM 2. If  $n = 4(2^r + 2^s) + 3$  and r > s, then  $\mathbb{RP}^n$  cannot be embedded in  $\mathbb{R}^{2n-8}$ .

This second result is not as sharp as Theorem 1, in the sense that it is not known whether this result is best possible. In particular we have that  $RP^{15}$  does not embed in  $R^{22}$ , but that it does embed in  $R^{24}$  (by [8], (1.4)).

From [12], it is known that, if  $n = 2^r + 2^s$  and r > s,  $QP^n$  embeds in  $\mathbb{R}^{s_n-4}$ . We prove that this result is best possible, namely,

THEOREM 3. If  $n = 2^r + 2^s$  and r > s, then  $QP^n$  embeds in  $\mathbb{R}^{8n-4}$  but not in  $\mathbb{R}^{8n-5}$ .

This result answers in the negative a problem raised by Sanderson (Problem 4' of [6]) to the effect that the best possible embeddings and immersions of  $QP^n$  coincide.

We also include the following immersion results:

THEOREM 4. If  $n = 2^r + 2^s + 2^t$  and r > s > t, then  $QP^n$  immerses in  $\mathbb{R}^{8n-6}$  but not in  $\mathbb{R}^{8n-7}$ .

THEOREM 5. If  $n = 2^r + 2^s + 2^t$  and r > s > t, then  $RP^{4n+3}$  immerses in  $R^{3n-3}$ .

Theorem 5 is an immediate consequence of Theorem 4 and (5.3) of [12]. The result implies that  $RP^{31}$  immerses in  $R^{53}$ . Together with the results of James [5], this result is best possible.

<sup>\*</sup> S. Gitler was partially supported by N.S.F. Grant NSF GP-2440. M. Mahowald is an Alfred P. Sloan fellow and was partially supported by the U.S. Army Research Office (Durham).

# 1. Proof of the non-embedding theorems

1.1 Proof of Theorem 1. That  $RP^n$  immerses in  $R^{2n-3}$  is given by (7.2.2) of [7]; from (9.3) of [3] we have that  $RP^n$  immerses in  $R^{2n-4}$  but not in  $R^{2n-5}$ . It follows from Hirsch ([4], (6.1)) that, for any immersion of  $RP^n$  in  $R^{2n-4}$ , the normal bundle  $\nu$  does not have a non-zero section. We now apply the methods of [7] and assume familiarity with the notation. Let  $f:RP^n \to BSO(n-4)$  be the classifying map for  $\nu$ . Then f does not admit a lifting to  $RP^n \to BSO(n-5)$ . The obstructions to the lifting are given in 4.1 of [7], and they are

$$k_1^{1} = \chi, \qquad k_1^{2} : (\operatorname{Sq}^{2} + W_2)\chi = 0, \qquad k_3^{2} : (\operatorname{Sq}^{4} + W_4)\chi = 0,$$
  
(1.2) 
$$k_1^{3} : (\operatorname{Sq}^{2} + W_2)k_1^{2} = 0, \qquad k_3^{3} : \operatorname{Sq}^{1}k_3^{2} + (\operatorname{Sq}^{2}\operatorname{Sq}^{1} + W_3)k_1^{2} = 0,$$
  
and 
$$k_1^{4} : \operatorname{Sq}^{1}k_3^{3} + (\operatorname{Sq}^{2} + W_2)k_1^{3} = 0.$$

Now  $\chi(\nu) \in H^{n-4}(\mathbb{RP}^n; \mathbb{Z}) = 0$  and  $W_2(\nu) \neq 0$ . Therefore,  $k_1^2(\nu) = 0$ , because of the indeterminacy. Now  $k_2^3(\nu)$  has zero indeterminacy, and, if  $k_3^2(\nu) = 0$ , then all the remaining successive k-invariants would vanish and we would obtain a lifting. Therefore  $k_3^2(\nu) \neq 0$ . Let  $E_0(\nu)$  be the total space of the associated sphere bundle of  $\nu$  and  $\alpha \in H^{n-5}(E_0(\nu); \mathbb{Z}_2)$ , any class with  $\delta \alpha = U$ , where U is the Thom class of  $\nu$ . Then, from (5.3.3) of [7], we obtain

(1.3) 
$$\operatorname{Sq}^{4} \alpha = k_{3}^{2} \neq 0.$$

It follows that  $\nu$  cannot be the normal bundle to an embedding of  $RP^n$  in  $R^{2n-4}$ , since (1.3) contradicts the existence of the Massey subalgebra [10], and and so Theorem 1 is proved.

1.3. Proof of Theorem 2. We first observe that if  $n = 2^r + 7$ ,  $r \ge 3$ , then Theorem 2 follows from Theorem 1.

Now assume  $n = 2^r + 2^s + 3$ ,  $r > s \ge 3$ , and consider the secondary operation from one to three variables  $\Omega_{8k+4}$  associated with the relations

(1.4)  
$$\begin{aligned} \operatorname{Sq}^{1}\operatorname{Sq}^{8k+4} + \operatorname{Sq}^{2}\operatorname{Sq}^{8k+3} + \operatorname{Sq}^{8k+4}\operatorname{Sq}^{1} &= 0, \\ \operatorname{Sq}^{4}\operatorname{Sq}^{8k+3} + \operatorname{Sq}^{8k+5}\operatorname{Sq}^{2} &= 0, \\ \operatorname{Sq}^{4}\operatorname{Sq}^{8k+4} + \operatorname{Sq}^{8k+6}\operatorname{Sq}^{2} + \operatorname{Sq}^{8k+7}\operatorname{Sq}^{1} &= 0. \end{aligned}$$

 $\begin{array}{l} \Omega_{8k+4} \text{ is defined in classes } x \in H^q(X) \text{ such that } \operatorname{Sq}^1 x = 0, \operatorname{Sq}^2 x = 0, \operatorname{Sq}^{8k+3} x = 0, \\ \operatorname{Sq}^{8k+4} x = 0 \text{ and its value } \Omega_{8k+4}(x) \text{ lies in } H^{q+8k+4}(X) \oplus H^{q+8k+6}(X) \oplus H^{q+8k+7}(X) \\ \text{modulo the subgroup } Q(X) = (\operatorname{Sq}^{8k+4}, 0, \operatorname{Sq}^{8k+7}) \Delta H^q(X) + (0, \operatorname{Sq}^{8k+5}, \operatorname{Sq}^{8k+6}) \\ \Delta H^{q+1}(X) + (\operatorname{Sq}^2, \operatorname{Sq}^4, 0) \Delta H^{q+8k+2}(X) + (\operatorname{Sq}^1, 0, \operatorname{Sq}^4) \Delta H^{q+8k+3}(X), \text{ where} \\ \Delta : H^p(X) \to H^p(X) \oplus H^p(X) \oplus H^p(X) \text{ is the diagonal homomorphism given} \\ \text{by } \Delta(x) = (x, x, x), \text{ for all } x \in H^p(X). \end{array}$ 

The following lemma gives a sufficient condition for  $\Omega_{8k+4}$  to vanish. Let  $A_j(u)$  be the total image of all stable primary operations which raise dimension by j acting on u.

LEMMA 1.5. Let  $u \in H^q(X)$  be such that  $\Omega_{8k+4}(u)$  is defined. If q = 8k + 2and  $A_{8k+a}(u) = 0$  and  $u \cup \operatorname{Sq}^a u = 0$  for a = 4, 5, then  $\Omega_{8k+4}(u) = 0$ .

The proof is entirely analogous to that of [2] (p. 63) and therefore will be omitted.

LEMMA 1.6. If  $\nu$  is the normal bundle to an embedding of  $\mathbb{RP}^n$  and U is the Thom class of  $\nu$ , then  $\Omega_{n-7}(U)$  is defined and, with diagonal indeterminacy, is non-zero. Moreover  $A_{n-\epsilon}(U) = 0$  for  $\epsilon = 4, 5, 7$ .

*Proof.* Since the operation  $\Omega_{n-7}$  is stable and so are the conclusions of the lemma, we may as well work with the stable normal bundle. The Thom space of the stable normal bundles is  $RP^{2^{N-1}}/RP^{2^{N-n-2}}$ , where  $N = \varphi(n)$  is the Hurwitz-Radon number. Consider the diagram of fibrations



Let  $x \in H^1(RP^{\infty})$ ,  $w \in H^2(CP^{\infty})$ , and  $y \in H^4(QP^{\infty})$  be the generators. Then  $\pi_1^*w = x^2, \pi_2^*y = w^2$ , and  $\pi_3^*y = x^4$ . If  $4q = 2^N - n - 1$ , then it is easy to see that  $\Omega_{n-7}(y^q)$  is defined and has zero indeterminacy. In fact  $\Omega_{n-7}(y^q) = (\varphi_{n-7}'(y^q), 0, 0)$ , where  $\varphi_{n-7}'$  is an operation associated with the first relation of (1.4). Moreover, if  $\varphi_{n-7}$  is an operation associated with the relation  $\operatorname{Sq}^1 \operatorname{Sq}^{n-7} + (\operatorname{Sq}^2 \operatorname{Sq}^1)\operatorname{Sq}^{n-9} + \operatorname{Sq}^{n-7}\operatorname{Sq}^1 = 0$ , then  $\varphi_{n-7}'(y^q) = \varphi_{n-7}(y^q)$ , with zero indeterminacy. Now  $\pi_2^*\varphi_{n-7}(y^q) = \varphi_{n-7}(w^{2q})$ , and  $\varphi_{n-7}(w^{2q}) \neq 0$  with zero indeterminacy by [2] (p. 68). It follows that  $\varphi_{n-7}(y^q)$ , and thus  $\Omega_{n-7}(y^q)$ , is non-zero. Finally, it is easy to verify that  $\Omega_{n-7}(x^{4q})$  has diagonal indeterminacy; so, by naturality with respect to  $\pi_3^*$ , we conclude that  $\Omega_{n-7}(x^{4q}) \neq 0$ . The remaining conclusions follow by simple calculation.

Suppose now that  $RP^n$  embeds in  $R^{2n-8}$ . Then, from the existence of the Massey subalgebra [10], it follows that there is a class  $\alpha \in H^{n-9}(E_0)$ ,  $E_0$  being the sphere bundle of the embedding, with  $\delta \alpha = U$ . Then  $\operatorname{Sq}^k \alpha = 0$  for k = 1, 2, 5, 6, n - 9. If  $\operatorname{Sq}^4 \alpha = \alpha \cdot W_4(\nu) + x^{n-5}$ , then  $\operatorname{Sq}^6 \alpha \neq 0$ , so that  $\operatorname{Sq}^4 \alpha = \alpha \cdot x^4$ . Therefore,  $\alpha \cup \operatorname{Sq}^4 \alpha = \alpha^2 x^4 = 0$ , since  $\operatorname{Sq}^{n-9} \alpha = 0$ . Thus  $\alpha \cup \operatorname{Sq}^a \alpha = 0$  for a = 4, 5, and  $\alpha$  satisfies the conditions of (1.5), which contradicts  $\delta\Omega_{n-7}(\alpha) = \Omega_{n-7}(U) \neq 0$ . Thus Theorem 2 is established.

1.7 Proof of Theorem 3. That  $QP^n$  embeds in  $\mathbb{R}^{8n-4}$  and immerses in  $\mathbb{R}^{8n-5}$  is given by Sanderson in [12]. In [13] it is proved that  $QP^n$  does not immerse in  $\mathbb{R}^{8n-6}$ . Again, by (6.1) of Hirsch [4], for any immersion of  $QP^n$  in  $\mathbb{R}^{8n-5}$ , the normal bundle  $\nu$  does not have a section. From (1.2) we see that the only obstruction to a section is  $k_1^2(\nu) \in H^{4n-4}(QP^n)$  with zero indeterminacy. Then, similarly to (1.3), we have  $\operatorname{Sq}^2 \alpha = k_1^2 \neq 0$ , where  $\alpha \in H^{4n-6}(E_0(\nu))$  satisfies  $\delta \alpha = U$ . This again

contradicts the existence of a Massey subalgebra. Thus  $\nu$  cannot be the normal bundle to an embedding, and Theorem 3 follows.

## 2. Proof of the immersion theorems

It suffices to prove Theorem 4.

2.1 Proof of Theorem 4. In (5.1) of [12] it is proved that  $QP^n$  immerses in  $\mathbb{R}^{8n-5}$ . Take any such immersion, with normal bundle  $\nu$ . We will show that  $\nu$  has a non-zero section. From (1.2) there is only one obstruction  $k_1^2(\nu) \in H^{4n-4}(QP^n)$ . Now, by (3.5.1) of [9], we have that, if  $U \in H^{4n-5}(E, E_0)$  is the Thom class of  $\nu$ ,

$$\Phi_{4n-4}'(U) = U \cup (k_1^2 + W_2 \cdot W_{4n-6}) = U \cup k_1^2,$$

since  $W_2 = W_2(\nu) = 0$  and  $\Phi_{4n-4}'$  is a secondary operation associated with the relation  $\operatorname{Sq}^1 \operatorname{Sq}^{4n-4} + \operatorname{Sq}^2 \operatorname{Sq}^{4n-5} = 0$ , valid for integral classes. It is easy to see in our case that  $\Phi_{4n-4}'(U) = \Phi_{4n-4}(U)$ , with zero indeterminacy, where  $\Phi_{4n-4}$  is associated with the relation  $\operatorname{Sq}^1 \operatorname{Sq}^{4n-4} + (\operatorname{Sq}^2 \operatorname{Sq}^1) \operatorname{Sq}^{4n-4} = 0$ . Since  $\nu$  is the normal bundle to an embedding of  $QP^n$  and  $\Phi_{4n-4}$  is a stable operation,  $\Phi_{4n-4}(U)$  is non-zero if and only if

$$c(\Phi_{4n-4}): H^4(QP^n) \to H^{4n}(QP^n)$$

is non-zero, where  $c(\Phi_{4n-4})$  is the dual operation to  $\Phi_{4n-4}$  (see [2], (5.1)). This secondary operation is the one considered by Maunder in [11], and one can use his main theorem to compute it; or, using the methods of [2], one obtains that, for the given value of n,  $c(\Phi_{4n-4})(y) = 0$ , where  $y \in H^4(QP^n)$  is the generator. Therefore  $k_1^2 = 0$  and  $\nu$  has a section. We apply Hirsch's theorem (6.1) of [4] to complete the proof of Theorem 4.

CENTRO DE INVESTIGACIÓN DEL I P N, MÉXICO, D.F. INSTITUTE FOR ADVANCED STUDY, PRINCETON, NEW JERSEY NORTHWESTERN UNIVERSITY, EVANSTON, ILLINOIS

#### References

- J. F. ADAMS, On the non-existence of elements of Hopf invariant one, Ann. of Math., 72 (1960), 20-104.
- [2] J. ADEM and S. GITLER, Secondary characteristic classes and the immersion problem, Bol. Soc. Mat. Mex. 8 (1963), 53-78.
- [3] —, Non-immersion theorems for real projective spaces, Bol. Soc. Mat. Mex., 9 (1964), 37-54.
- [4] M. HIRSCH, Immersion of manifolds, Trans. Amer. Math. Soc. 93 (1959), 242-76.
- [5] I. M. JAMES, On the immersion problem of real projective spaces, Bull. Amer. Math. Soc., 69 (1963), 231-38.
- [6] R. LASHOF, Problems in differential and algebraic topology (Seattle Conference, 1963), Ann. of Math., 81 (1965), 565-91.
- [7] M. MAHOWALD, On obstruction theory in orientable fibre bundles, Trans. Amer. Math. Soc., 110 (1964), 315-49.
- [8] -----, On embedding manifolds which are bundles over spheres, Proc. Amer. Math. Soc., 15 (1964), 579-83.

- [9] and F. P. PETERSON, Secondary cohomology operations in the Thom class, Topology, 2 (1964), 367-77.
- [10] W. S. MASSEY, On the embedability of the real projective spaces, Pacific J. Math., 9 (1959), 783-89.
- [11] C. R. MAUNDER, Chern characters and higher order cohomology operations, Proc. Camb. Phil. Soc., 60 (1964), 751-64.
- [12] B. J. SANDERSON, Immersion and embeddings of projective spaces, Proc. London Math. Soc., 16 (1964), 135-53.
- [13] and R. L. E. SCHWARZENBERGER, Non-immersion theorems for differentiable manifolds, Proc. Camb. Phil. Soc., 59 (1963), 319-22.