

DIFFERENTIAL STRUCTURES AND TANGENT BUNDLES ON BANACH MANIFOLDS(*)

BY J. J. RIVAUD

1. Introduction and Statement of Results

A separable metrizable manifold modeled on an infinite dimensional separable Banach space B will be called a B -manifold.

A Banach space B such that $B \times B$ is isomorphic with B will be called a stable Banach space and a B -bundle $\xi: E \rightarrow X$ over any space X will be called stable if the Whitney sum $\xi \oplus 1_x$ is equivalent to ξ . Here 1_x is the trivial B -bundle over X .

Note that $\xi \oplus 1_x$ is always stable and that if one of two equivalent B -bundles is stable then both are stable.

Henderson [6] has shown that every topological B -manifold is homeomorphic to an open subset of B , for which reason it has a differentiable structure with trivial tangent bundle. This particular fact led us to the following:

Problem. Determine the B -bundles over a B -manifold M which are tangent bundles of M for some smooth structure.

Note that if the general linear group of B is contractible the problem is trivial.

We found that for stable Banach spaces B with a C^∞ -norm essentially all bundles over a B -manifold M with fiber B are tangent bundles. To be more explicit: let $\text{Vect}_B(X)$ be the set of equivalence classes of B -bundles over X ; let $\text{St}_B(X)$ be the subset of those elements of $\text{Vect}_B(X)$ which contain stable B -bundles and let $\text{Tan}(M)$ be the subset of $\text{Vect}_B(M)$ consisting of classes which contain a B -bundle which is the tangent bundle of M for some smooth structure. We obtain the following results:

THEOREM 1.1 *Let B be a stable Banach space with a C^∞ -norm and let M be a topological B -manifold. Then*

$$\text{St}_B(M) \subset \text{Tan}(M).$$

In other words, any stable B -bundle over M is equivalent to the tangent bundle of M for some smooth structure.

In the case of the stable Banach space $B = c_0 \times \ell^2$ for which the general linear group is not contractible, as shown by Douady [5], we prove

THEOREM 1.2 *If $B = c_0 \times \ell^2$ and X is a paracompact space then $\text{St}_B(X) = \text{Vect}_B(X)$.*

(*) The results in this note are part of the author's Ph.D. thesis written under Professor R. Welland whose help the author is glad to acknowledge. After this thesis was written a part of the results has been obtained by K. D. Elworthy, and it appeared in *Compositio Mathematica*, Vol. 24, 2 (1972), 175-226.

These theorems solve the problem completely for the case $B = c_0 \times \ell^2$, namely, every $c_0 \times \ell^2$ -bundle over a $c_0 \times \ell^2$ -manifold M is equivalent to the tangent bundle of M for some smooth structure. It would be interesting to know whether Theorem 1.2 holds in general. Also, when do we have $\text{St}_B(M) = \text{Tan}(M)$?

2. Proof of Theorem 1.1

To prove Theorem 1.1 we first need some lemmas.

Let $K(\text{Vect}_B(X))$ denote the Grothendieck group associated to $\text{Vect}_B(X)$.

LEMMA 2.1 *Let X be a paracompact space and B a stable Banach space. Then, $\text{St}_B(X)$ is a group under the Whitney sum. Moreover,*

$$K(\text{Vect}_B(X)) = \text{St}_B(X)$$

Proof. Given a B -bundle $\xi: E \rightarrow X$, the existence of $\xi': E' \rightarrow X$ such that $\xi \oplus \xi' = 1_X$ follows using standard techniques and the fact that B is stable. It follows from the definition of $\text{St}_B(X)$ that 1_X is the identity element. The last part follows from the definition of K .

LEMMA 2.2. *Let B be a stable Banach space with a C^∞ -norm. Let M be a C^∞ - B -manifold. Then, given any class $[\pi] \in \text{Vect}_B(M)$, there exists π' , a C^∞ - B -bundle over M , such that $[\pi'] = [\pi]$.*

Proof. Let G_B be the set of all closed subspaces of B , isomorphic to B , and admitting a closed complement isomorphic with B . On G_B consider the following metric:

$$d(B', B'') = \theta(B', B'') + \theta(B'', B')$$

where

$$\theta(B', B'') = \sup_{\substack{x \in B' \\ \|x\| \leq 1}} \left(\inf_{\substack{y \in B'' \\ \|y\| \leq 1}} \|x - y\| \right)$$

for $B', B'' \in G_B$.

Douady [4] has proved that G_B admits an analytic atlas.

Let $p: G_B \times B \rightarrow G_B$ be the projection on the first factor, and $E = \{(X, y) \in G_B \times B \mid y \in X\}$, then $p|E: E \rightarrow G_B$ is a C^∞ - B -bundle.

Since π has a complementary B -bundle, the existence of an exact sequence $0 \rightarrow \pi \xrightarrow{h} M \times B$ follows. Let $p: M \times B \rightarrow B$ be the projection on the second factor. Define $f: M \rightarrow G_B$ by $f(x) = ph(\pi^{-1}(x))$. It is continuous and the pull back of f is equivalent with π .

Since B has a C^∞ -norm there exist C^∞ -partitions of 1. By the usual argument with the C^∞ -partitions of 1 we can find a C^∞ -function $g: M \rightarrow G_B$ homotopic to f . We let π' be the pullback of g .

Proof of theorem 1.1 Consider in M a parallelizable C^∞ -structure and apply Lemma 2.2 to get a C^∞ -bundle $\pi': E \rightarrow M$ in the class of $[\pi]$.

The bundle atlas introduces in E a C^∞ - B -manifold structure.

Since E has the same homotopy type as M (the zero section is a deformation retract of E and is homeomorphic with M), we have that E is homeomorphic with M (see Burghlea and Kuiper [2]). We claim this C^∞ -structure on E is the one we are looking for. To check this, it is enough to look at the zero section of π' . The tangent bundle to E restricted to the zero section is $\pi' \oplus 1_B$ where π' is given by the derivative in the direction of the fiber and 1_B comes from the derivative in the direction of the manifold M with respect to the parallelizable structure we chose. By Lemma 2.1 the theorem follows.

3. The Case $B = c_0 \times \ell^2$

Let $GL(B)$ denote the general linear group of B . If $GL(B)$ is contractible to a point, as in the case of ℓ^2 or c_0 (see Kuiper [7] and Arlt [1], we have that

$$\text{Vect}_B(X) = \text{St}_B(X) = \{1_x\}$$

for all X paracompact. Therefore, the theorem is trivial in this case.

Nevertheless, this is not the general case. Douady [5] has shown that $GL(c_0 \times \ell^2)$ has the same homotopy type as $Z \times BO$, hence

$$\text{Vect}_{c_0 \times \ell^2} \neq \{1_x\}$$

in general.

Since it was shown by Kuiper that c_0 has a C^∞ -norm (see Boric and Framton [3]) it follows that $c_0 \times \ell^2$ has a C^∞ -norm. It is clear that $c_0 \times \ell^2$ is stable.

Proof of theorem 1.2 Let $G = \{T \in GL(B \times B) \mid T(x, y) = (T'(x), y), T' \in GL(B)\}$. Since both G and $GL(B \times B)$ are isomorphic to $GL(B)$ we have an inclusion of the group $GL(B)$ in itself, which we must now prove to be a homotopy equivalence. The theorem will then follow from the results of Milnor [8].

Since $GL(B)$ has the homotopy type of a CW -complex (Milnor [9]) weak homotopy equivalence implies homotopy equivalence (Milnor, *op. cit.*).

Observe that if

$$T: \ell^2 \times \ell^2 \rightarrow \ell^2$$

is an isomorphism, and

$$f: X \rightarrow \mathfrak{F}(\ell^2)$$

is a continuous map from a compact space X into the space of Fredholm operators in ℓ^2 then the maps

$$(f, id): X \rightarrow \mathfrak{F}(\ell^2 \times \ell^2)$$

given by $(f, id)(x) = (f(x), x): \ell^2 \times \ell^2 \rightarrow \ell^2 \times \ell^2$ and $T^*f: X \rightarrow \mathfrak{F}(\ell^2 \times \ell^2)$ given by

$$T^*f(x) = Tf(x)T^{-1}$$

have the same Jänich Index.

It now follows from Douady [5] that the inclusion map $G \subset GL(B \times B)$ induces isomorphisms of the homotopy groups, *i.e.* is a weak homotopy equivalence. This concludes the proof of Theorem 1.2.

Observe that, in particular, $S^1 \times (c_0 \times \ell^2)$ admits countably many distinct differentiable structures with distinct tangent bundles.

CENTRO DE INVESTIGACIÓN DEL IPN, MÉXICO.

REFERENCES

- [1] D. ARLT, *Zusammensiehbarkeit der Allgemeinen Linearen gruppe des Raumes c_0 der Nullfolgen*, *Invent. Math.* **1** (1966), 36-44.
- [2] D. BURGHELEA, AND H. H. KUIPER, *Hilbert manifolds*, *Ann. of Math.* **90** (1969), 379-417.
- [3] R. BONIC, AND J. FRAMTON, *Smooth functions on Banach manifolds*, *J. Math. Mech.* **15** (1966), 877-98.
- [4] A. DOUADY, *Le problème des modules pour les sous-espaces analytiques compacts d'un espace analytique donné*, *Ann. Inst. Fourier, Grenoble* **16**, **1** (1966), 1-95.
- [5] ———, *Un espace de Banach dont le groupe linéaire n'est pas connexe*, *Nederl. Akad. Wetensch. Proc. Ser. A* **68** (1965), 787-89.
- [6] D. W. HENDERSON, *Infinite dimensional manifolds are open subsets of Hilbert space*, *Bull. Amer. Math. Soc.* **75** (1969), 759-62.
- [7] N. H. KUIPER, *The homotopy type of the unitary group of Hilbert space*, *Topology* **3** (1965), 19-30.
- [8] J. MILNOR, *Construction of universal bundles: II*, *Ann. of Math. (2)* **63** (1956), 430-36.
- [9] ———, *On spaces having the homotopy type of a CW-complex*, *Trans. Amer. Math. Soc.* **90** (1959), 272-80.