

## A REMARK ON A CONVERSE OF TAYLOR'S THEOREM

BY J. J. RIVAUD

R. Abraham and J. Robbin in (1) proved essentially the following

**THEOREM A.** *Let  $X$  and  $Y$  be normed linear spaces,  $U$  an open subset of  $X$ ,  $f_i: U \rightarrow L_s^k(X, Y)$  for  $i = 0, 1, \dots, r$  ( $r \geq 0$ ). For any  $x \in U$  and  $h \in X$  such that  $x + h \in U$  define*

$$\rho(x, h) = f_0(x + h) - \sum_{i=0}^r \frac{f_i(x)}{i!} h^i$$

*Suppose that:*

- (i) *Each  $f_i$  is continuous ( $i = 0, 1, \dots, r$ )*
- (ii)  *$\|\rho(x, h)\| / \|h\|^r \rightarrow 0$  as  $(x, h) \rightarrow (x_0, 0)$  ( $x_0 \in U$ ).*

*Then  $f_0$  is of class  $C^r$  and  $D^i f_0 = f_i$  ( $i = 1, \dots, r$ ).*

This result is an extension of the finite dimensional case proved by Glaeser (2). In this note, we prove that condition (ii) can be relaxed.

**THEOREM B.** *Let  $X$  and  $Y$  be normed linear spaces,  $U \subset X$  an open subset,  $f_i: U \rightarrow L_s^k(X, Y)$  for  $i = 0, 1, \dots, r$  ( $r \geq 0$ ) continuous maps and define  $\rho(x, h)$  as in the above theorem.*

*Suppose that for each  $x \in U$*

$$\frac{\rho(x, h)}{\|h\|^r} \rightarrow 0 \text{ as } h \rightarrow 0.$$

*Then*

$$\frac{\rho(x, h)}{\|h\|^r} \rightarrow 0 \text{ as } (x, h) \rightarrow (x_0, 0) \quad (x_0 \in U)$$

The following lemmata are the steps of the proof.

**LEMMA 1.** *Let  $f: (a, b) \rightarrow \mathbf{R}$  be a continuous map, denoted by*

$$D^+f(x) = \overline{\lim}_{h \rightarrow 0^+} \frac{f(x+h) - f(x)}{h}$$

*and*

$$D_+f(x) = \underline{\lim}_{h \rightarrow 0^+} \frac{f(x+h) - f(x)}{h}$$

*If  $D^+f(x) \geq 0$  for all  $x \in (a, b)$ , then  $f$  is a non-decreasing monotone map. Analogously if  $D_+f(x) \leq 0$  for all  $x \in (a, b)$  then  $f$  is a non increasing monotone map.*

The proof can be found in [3] pp. 98.

**LEMMA 2.** *Let  $f: (a, b) \rightarrow \mathbf{R}$  be a map of class  $C^{r-1}$ . Suppose that for every*

$x \in (a, b)$

$$\lim_{h \rightarrow 0} \frac{f(x+h) - \sum_{i=0}^{r-1} \frac{1}{i!} D^i f(x) h^i}{h^r} = 0$$

Then  $f$  is a polynomial of degree  $\leq r-1$ .

*Proof.* We will prove that  $D^+(D^{r-1}f)(x) \geq 0$  and  $D_+(D^{r-1}f)(x) \leq 0$  for all  $x \in (a, b)$ , by lemma 1  $D^{r-1}f$  has to be constant and the lemma follows.

Since  $f$  is of class  $C^{r-1}$  we have

$$\begin{aligned} \rho(x, h) &= f(x+h) - \sum_{i=0}^{r-1} \frac{1}{i!} D^i f(x) h^i \\ &= \int_0^1 \frac{(1-t)^{r-2}}{(r-2)!} [D^{r-1}f(x+th) - D^{r-1}f(x)] h^{r-1} dt \end{aligned}$$

Hence

$$\frac{\rho(x, h)}{h^r} = \int_0^1 \frac{(1-t)^{r-2} t}{(r-2)!} \left[ \frac{D^{r-1}f(x+th) - D^{r-1}f(x)}{th} \right] dt$$

Suppose  $D_+(D^{r-1}f)(x) \geq \epsilon > 0$  for some  $x \in (a, b)$ , then

$$\frac{D^{r-1}f(x+th) - D^{r-1}f(x)}{th} > \epsilon/2$$

for  $th$  small enough, hence

$$\frac{\rho(x, h)}{h^r} \geq \frac{\epsilon/2}{(r-2)!} \int_0^1 (1-t)^{r-2} \cdot t dt > 0$$

i.e.

$$\frac{\rho(x, h)}{h^r} \geq k > 0$$

for  $h$  small enough. This is a contradiction. Analogously if  $D^+(D^{r-1}f)(x) \leq -\epsilon < 0$ .

**COROLLARY.** Let  $X$  be a normed linear space and  $f: (a, b) \rightarrow X$  a  $C^r$  map such that for all  $x \in (a, b)$

$$\lim_{h \rightarrow 0} \frac{f(x+h) - \sum_{i=0}^{r-1} \frac{1}{i!} D^i f(x) h^i}{h^r} = 0$$

Then  $f$  is a polynomial of degree  $\leq r-1$ .

The proof is obvious.

**LEMMA 3.** Let  $X$  be a normed linear space and

$$f_i: (a, b) \rightarrow X \quad i = 0, 1, \dots, r$$

continuous maps such that for every  $x \in (a, b)$

$$\lim_{h \rightarrow 0} \frac{f_0(x+h) - \sum_{i=0}^r \frac{1}{i!} f_i(x) h^i}{h^r} = 0$$

Then,  $f_0$  is of class  $C^r$  and  $D^i f_0 = f_i$  ( $i = 1, \dots, r$ ).

*Proof* (By induction on  $r$ ). For  $r = 1$  the result is trivial. Suppose it is valid for  $r - 1$ . It is clear that  $f_0, \dots, f_{r-1}$  meet the hypothesis of induction then we can suppose

$$f_i = D^i f_0 \quad (i = 1, \dots, r-1)$$

and

$$\lim_{h \rightarrow 0} \frac{f_0(x+h) - \sum_{i=0}^{r-1} \frac{1}{i!} D^i f_0(x) h^i - \frac{1}{r!} f_r(x) h^r}{h^r} = 0$$

Consider the map  $g: (a, b) \rightarrow X$  defined by

$$g(x) = \int_{x_0}^x \int_{x_0}^{t_{r-1}} \dots \int_{x_0}^{t_1} f_r(t_0) dt_0 \dots dt_{r-1}$$

where  $x_0 \in (a, b)$  is fixed.

The map  $g$  is of class  $C^r$  and  $D^r g = f_r$ . Let  $m(x) = f_0(x) - g(x)$ , then  $m$  is of class  $C^{r-1}$  and satisfies the condition of the corollary, hence it is a polynomial of degree  $\leq r - 1$  and  $D^r m = 0$  that implies the lemma.

*Proof of theorem B.* Let  $x \in U$  and  $h \in X$  with  $\|h\| = 1$ . It is enough to prove that

$$\rho(x, \lambda h) = \int_0^1 \frac{(1-t)^{r-1}}{(r-1)!} [f_r(x + t\lambda h) - f_r(x)] (\lambda h)^r dt$$

because the continuity of  $f_r$  implies that

$$\frac{\rho(x, \lambda h)}{\lambda^r} \rightarrow 0 \quad \text{as } x \rightarrow x_0 \quad \text{and } \lambda \rightarrow 0.$$

Define  $\varphi_i: (-\epsilon, \epsilon) \rightarrow X$  by

$$\varphi_i(\lambda) = f_i(x + \lambda h) h^i \quad (i = 0, \dots, r)$$

Since

$$\frac{\varphi_0(\lambda + \mu) - \sum_{i=0}^r \frac{1}{i!} \varphi_i(\lambda) \mu^i}{\mu^r} = \frac{f_0(x' + \mu h) - \sum_{i=0}^r \frac{1}{i!} f_i(x') (\mu h)^i}{\|\mu h\|^r}$$

where  $x' = x + \lambda h$ , we have that the conditions of the last lemma are fulfilled by the  $\varphi_i$ , then by the lemma and Taylor's theorem it follows that

$$\rho(x, \lambda h) = f(x + \lambda h) - \sum_{i=0}^r \frac{1}{i!} f_i(x) (\lambda h)^i$$

$$\begin{aligned}
&= \varphi_0(\lambda) - \sum_{i=0}^r \frac{1}{i!} \varphi_i(0) \lambda^i \\
&= \int_0^1 \frac{(1-t)^{r-1}}{(r-1)!} [\varphi_r(t\lambda) - \varphi_r(0)] \lambda^r dt \\
&= \int_0^1 \frac{(1-t)^{r-1}}{(r-1)!} [f_r(x + t\lambda h) - f_r(x)] (\lambda h)^r dt.
\end{aligned}$$

Observe that a similar result for the remainders in the Whitney's extension theorem [4] is well known to be false.

In some places, Theorem A appears loosely stated (see [5]) apparently implying that in their proof they just need the weaker assumption on the remainder, which is not the case.

CENTRO DE INVESTIGACION DEL IPN, MEXICO, D. F.

#### REFERENCES

- [1] R. ABRAHAM AND J. ROBBIN, *Transversal Mappings and Flows*, W. A. Benjamin, New York, 1967.
- [2] G. GLAESER, *Étude de quelques Algèbres Tayloriennes*, *J. Analyse Math.* (1958), 1-118.
- [3] H. L. ROYDEN, *Real Analysis*, second edition (1968), McMillan Company.
- [4] H. WHITNEY, *Analytic extensions of differentiable functions defined on closed sets*, *Trans. Amer. Math. Soc.* **36** (1934), 369-87.
- [5] E. NELSON, *Topics in Dynamics I: Flows*, Princeton University Press 1970.